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JC920 U.S. PTO

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09/668213

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Date: September 22, 2000

File No. A-68940-5/DCA

Box PATENT APPLICATION FEE
Assistant Commissioner for Patents
Washington, DC 20231

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DATE OF DEPOSIT September 22, 2000

I HEREBY CERTIFY THAT THIS PAPER OR FEE IS BEING DEPOSITED WITH THE UNITED STATES POSTAL SERVICE "EXPRESS MAIL POST OFFICE TO ADDRESSEE" SERVICE UNDER 37 CFR 1.10 ON THE DATE INDICATED ABOVE AND IS ADDRESSED TO: BOX PATENT APPLICATION FEE, ASSISTANT COMMISSIONER FOR PATENTS, WASHINGTON, DC 20231.

TYPED NAME KARI BATEMAN

SIGNED

Sir:

Transmitted herewith for filing is the patent application of Inventor(s): Ynjiun P. WANG and Joshua C. DING

For: **ELECTRONIC TRANSACTION SYSTEMS AND METHODS THEREFOR**

Enclosed are also:

Prior Art Statement.

18 Sheets of drawings, Formal , Informal X

An Assignment of the invention to: eSignX Corporation

Declaration and Power of Attorney for Patent Application.

Verified Statement Claiming Small Entity Status

	(Col. 1) NO. FILED	(Col. 2) NO. EXTRA	SMALL ENTITY RATE	FEE	OTHER THAN SMALL ENTITY RATE	FEE
BASIC FEE				\$345		\$690
TOTAL CLAIMS	26 - 20	-6-	x 9 =	\$54	x 18 =	\$
INDEP CLAIMS	5 - 3	-2-	x 39 =	\$78	x 78 =	\$
MULTIPLE DEPENDENT CLAIM PRESENTED			+ 130 =	\$	+ 260 =	\$
If the difference in Col 1 is less than zero, enter "0" in Col. 2			TOTAL	\$477	TOTAL	\$

X Our Check No. 6267 in the amount of \$517.00 is enclosed to cover both the filing fee of \$477.00 and the assignment recordation fee of \$40.00.

X This application is a continuing application under Title 35, United States Code § 120/121 of the U.S. Application Serial No. 09/523,825 filed March 13, 2000, which is a continuing application of U.S. Application Serial No. 09/067,176 filed April 27, 1998, which is a continuing application of U.S. Application Serial No. 08/759,555 filed December 4, 1996, now U.S. Patent No. 5,917,913.

X The Commissioner is hereby authorized to charge any additional fees which may be required, including extension fees, or credit any overpayment to Deposit Account No. 06-1300 (Order No. A-68940-5/DCA). Two copies of this sheet are enclosed.

Respectfully submitted,

David C. Ashby
David C. Ashby
Reg. No. 36,432

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1017628

(1.14) 08/95

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MR HOHBACH TEST

NO. 9296 P. 2

Applicant: WANG ET AL.
Serial No.: Not yet assigned

Atty Docket No. A-68940-5/DCA
Filed: Herewith

**VERIFIED STATEMENT (DECLARATION) CLAIMING SMALL ENTITY STATUS
(37 CFR 1.9(f) and 1.27(c)) - SMALL BUSINESS CONCERN**

I hereby declare that I am an official of the small business concern empowered to act on behalf of the concern identified below:

NAME OF SMALL BUSINESS CONCERN: eSignX Corporation
ADDRESS OF SMALL BUSINESS CONCERN: 50 Airport Way
 San Jose, CA 95110

I hereby declare that the above identified small business concern qualifies as a small business concern as defined in 13 CFR 121.12, and reproduced in 37 CFR 1.9(d), for purposes of paying reduced fees to the United States Patent and Trademark Office, United States Code, in that the number of employees of the concern, including those of its affiliates, does not exceed 500 persons. For purposes of this statement, (1) the number of employees of the business concern is the average over the previous fiscal year of the concern of the persons employed on a full-time, part-time or temporary basis during each of the pay periods of the fiscal year, and (2) concerns are affiliates of each other when either, directly or indirectly, one concern controls or has the power to control the other, or a third party or parties controls or has the power to control both.

I hereby declare that rights under contract or law have been conveyed to and remain with the small business concern identified above with regard to the invention entitled *Electronic Transaction Systems and Methods Therefor* by inventors *Ynjiun P. Wang* and *Joshua C. Ding*, described in the specification filed herewith. If the rights held by the above identified small business concern are not exclusive, each individual, concern or organization having rights in the invention is listed below* and no rights to the invention are held by any person, other than the inventor, who would not qualify as an independent inventor under 37 CFR 1.9(c) if that person made the invention, or by any concern which would not qualify as a small business concern under 37 CFR 1.9(d), or a nonprofit organization under 37 CFR 1.9(e). *NOTE: Separate verified statements are required from each named person, concern or organization having rights to the invention averring to their status as small entities. (37 CFR 1.27)

Name: _____
Address: _____
☐ Individual ☐ Small Business Concern ☐ Nonprofit Organization

I acknowledge the duty to file, in this application or patent, notification of any change in status resulting in loss of entitlement to small entity status prior to paying, or at the time of paying, the earliest of the issue fee or any maintenance fee due after the date on which status as a small entity is no longer appropriate. (37 CFR 1.28(b)).

I declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application, any patent issuing thereon, or any patent to which this verified statement is directed.

Name/Title: Ynjiun P. Wang, President
Address: 50 Airport Way, San Jose, CA 95110

SIGNATURE

DATE _____

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